

DOLAT INVESTMENTS LIMITED

Corporate Office: 301-308, Bhagwati House, Plot A/19, Veera Desai Road, Andheri (West), Mumbai- 400058

Tel.: 91-22-2673 2602/03/04/5570 4167/68/69/71 Fax: 91-22-26732642,

Corporate Identity Number: L67100DD1983PLC004760

Website: www.dolatinvest.com, E-mail: post@dolatinvest.com or grievances@dolatinvest.com

Annexure I

Format to be submitted by listed entity on quarterly basis

NAME OF THE COMPANY: **DOLAT INVESTMENTS LIMITED**

QUARTER ENDING ON: **31ST MARCH, 2016**

I. Composition of Board of Directors

Title (Mr. / Ms)	Mr.	Mrs.	Mr.	Mr.
Name of the Director	Rajendra Dolatrai Shah	Harsha Harendra Shah	Shashikant Haridas Gosalia	Sunil Parmanand Shah
PAN & DIN	AAFPS1910E; 00005013	ABHPS6795E & 00012623	AAFPG5381F & 00010042	APHPS8386M & 00010068
Category (Chairperson/Executive/Non-Executive/independent/Nominee) &	Executive Director – MD	Non-Executive - Non Independent Director	Non-Executive – Independent Director	Non-Executive – Independent Director
Date of Appointment in the current term /cessation	18-09-2013	29-09-2015	18-09-2014	18-09-2014
Tenure*	Not Applicable	Not Applicable	12 years 2 month	12 years 2 month
No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	1	1	1	1
Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	2	0	2	2
No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	0	0	0	2

II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee) \$
1. Audit Committee	Sunil Parmanand Shah	Chairperson - Non-Executive – Independent Director
	Shashikant Haridas Gosalia	Non-Executive – Independent Director

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	Rajendra Dolatrai Shah	Executive Director
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2. Nomination & Remuneration Committee	Sunil Parmanand Shah	Chairperson – Non-Executive – Independent Director
	Shashikant Haridas Gosalia	Non-Executive – Independent Director
	Harsha Harendra Shah	Non-Executive - Non Independent Director

3. Risk Management Committee(if applicable)	Not applicable	Not applicable
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4. Stakeholders Relationship Committee'	Sunil Parmanand Shah	Chairperson – Non-Executive – Independent Director
	Shashikant Haridas Gosalia	Non-Executive – Independent Director
	Rajendra Dolatrai Shah	Executive

5. Corporate Social Responsibility Committee	Sunil Parmanand Shah	Chairperson – Non-Executive – Independent Director
	Shashikant Haridas Gosalia	Non-Executive – Independent Director
	Rajendra Dolatrai Shah	Executive

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
03-10-2015; 26-10-2015; 10-11-2015 & 10-12-2015	08-01-2016 & 12-02-2016	34

IV. Meeting of Committees

Sr no	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive (in number of days)
1	Audit Committee	12-02-2016	Yes. All 3 members of the Committee were present.	10-11-2015	93

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2	Nomination and remuneration committee	08-01-2016	Yes. All 3 members of the Committee were present.	-	-
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V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015	-
a. Audit Committee	Yes
b. Nomination & remuneration committee	Yes
c. Stakeholders relationship committee	Yes
d. Risk management committee (applicable to the top 100 listed entities)	Not Applicable
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	YES
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	YES
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/ observations/ advice of Board of Directors may be mentioned here: NIL	YES

Name: Sandeepkumar G. Bhanushali
Designation: Company Secretary & Compliance Officer
Place: Mumbai
Date: 13/04/2016

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Annexure II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)
1	Details of business	Yes
2	Terms and conditions of appointment of independent directors	Yes
3	Composition of various committees of board of directors	Yes
4	Code of conduct of board of directors and senior management personnel	Yes
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes
6	Criteria of making payments to non-executive directors	Yes
7	Policy on dealing with related party transactions	Yes
8	Policy for determining 'material' subsidiaries	Yes
9	Details of familiarization programmes imparted to independent directors	Yes
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes
11	email address for grievance redressal and other relevant details	Yes
12	Financial results	Yes
13	Shareholding pattern	Yes
14	Details of agreements entered into with the media companies and/or their associates	NA
15	New name and the old name of the listed entity	NA

ii. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes
2	Board composition	17(1)	Yes
3	Meeting of Board of directors	17(2)	Yes
4	Review of Compliance Reports	17(3)	Yes

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5	Plans for orderly succession for appointments	17(4)	Yes
6	Code of Conduct	17(5)	Yes
7	Fees/compensation	17(6)	Yes
8	Minimum Information	17(7)	Yes
9	Compliance Certificate	17(8)	Yes
10	Risk Assessment & Management	17(9)	Yes
11	Performance Evaluation of Independent Directors	17(10)	Yes
12	Composition of Audit Committee	18(1)	Yes
13	Meeting of Audit Committee	18(2)	Yes
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes
16	Composition and role of risk management committee	21(1),(2),(3),(4)	NA
17	Vigil Mechanism	22	Yes
18	Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes
20	Approval for material related party transactions	23(4)	NA
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA
23	Maximum Directorship & Tenure	25(1) & (2)	Yes
24	Meeting of independent directors	25(3) & (4)	Yes
25	Familiarization of independent directors	25(7)	Yes
26	Memberships in Committees	26(1)	Yes
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes

iii. Affirmations

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied – **Not Applicable**

Name: Sandeepkumar G. Bhanushali
Designation: Company Secretary & Compliance Officer
Place: Mumbai
Date: 13/04/2016