

***DOLAT* INVESTMENTS LIMITED**

Corporate Office: 301-308, Bhagwati House, Plot A/19, Veera Desai Road, Andheri (West), Mumbai- 400058

Tel.: 91-22-2673 2602/03/04/5570 4167/68/69/71 Fax: 91-22-26732642,

Corporate Identity Number: L67100DD1983PLC004760

Website: www.dolatinvest.com, E-mail: post@dolatinvest.com or grievances@dolatinvest.com

June 19, 2020

To,
Listing Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Fort,
Mumbai 400 001
BSE Code: 505526

Sub.: Annual Secretarial Compliance Report for the year ended March 31, 2020

Dear Sir/Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are forwarding herewith the Annual Secretarial Compliance Report for the year ended March 31, 2020.

Please take the same on record and oblige.

Thanking you

Yours faithfully,
For **Dolat Investments Limited**

Sd/-

Sandeepkumar G. Bhanushali
Company Secretary & Compliance Officer
Place : Mumbai

Encl: As above

DM & ASSOCIATES COMPANY SECRETARIES LLP

(LLPIN NO. AAI-4743)

REGD. OFFICE: # 205, NADIADWALA MARKET, PODDAR ROAD, MALAD (EAST), MUMBAI-400097

Tel No. : 022-28443641

Email: dmassociatesllp@gmail.com

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Annual Secretarial Compliance report of **DOLAT INVESTMENTS LIMITED** for the year ended **MARCH 31, 2020**.

We have examined:

- (a) all the documents and records made available to us and explanation provided by **DOLAT INVESTMENTS LIMITED** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2020 ("Review Period") in respect of compliance with the provisions of:

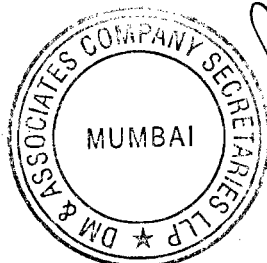
- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
 - (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 – **Not Applicable**;
 - (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
 - (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 – **Not Applicable**;
 - (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 – **Not Applicable**;
 - (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 – **Not Applicable**;
 - (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 – **Not Applicable**;
 - (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- and circulars/ guidelines issued thereunder;

And based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below.



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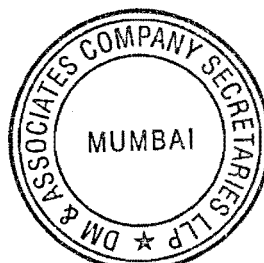
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Sr. No.	Compliance Requirement (Regulations/ Circulars / Guidelines including specific clause)	Deviations	Observations / Remarks of the Practicing Company Secretary
1	Reg. 17(1)(c) of SEBI (LODR) Regulations, 2015	<p>The Board of Directors of the Company which is in top 1000 Listed Entities on BSE Limited was required to comprise of not less than six directors with effect from April 1, 2019.</p> <p>The Company had four directors upto 22nd January, 2020 and appointed two Additional Directors on 23rd January, 2020 and the Company received a notice from BSE Limited dated 22nd October, 2019 for the same.</p>	The Company has made an application to BSE Limited for waiver of penalty subsequent to appointment of two Additional directors, pursuant to policy for exemption of fines as per provisions of SEBI SOP Circular

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under;

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations / remarks of the Practicing Company Secretary, if any.
1	BSE	Number of Directors on the Board being less than required 6 as per Reg. 17(1)(c) of SEBI (LODR) Regulations, 2015 with effect from April 1, 2019	Fine of Rs. 460000+82800(GST)	The Company has made an application to BSE Limited for waiver of penalty subsequent to appointment of two Additional directors, pursuant to policy for exemption of fines as per provisions of SEBI SOP Circular



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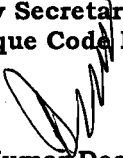
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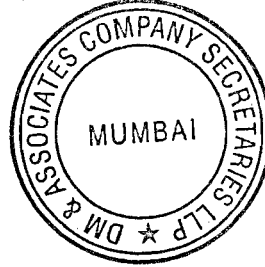
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(d) There was no observations for which listed entity had to take any actions to comply with the observations made in previous reports:

**For DM & Associates Company Secretaries LLP
Company Secretaries
ICSI Unique Code L2017MH003500**


**Dinesh Kumar Deora
Partner
FCS NO 5683
C P NO 4119
UDIN Number: F005683B000283114**



Place: Mumbai
Date: 26th May, 2020